

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L15491WB1962PLC031425

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCT0602K

(ii) (a) Name of the company

TATA GLOBAL BEVERAGES LIM

(b) Registered office address

1, BISHOP LEFROY ROAD
KOLKATA
West Bengal
700020
India

(c) *e-mail ID of the company

neelabja.c@tgb.com

(d) *Telephone number with STD code

02261218400

(e) Website

www.tataglobalbeverages.com

(iii) Date of Incorporation

18/10/1962

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☒ Yes ☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	4
2	National Stock Exchange Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U74999MH2018PTC307859

Pre-fill

Name of the Registrar and Transfer Agent

TSR DARASHAW CONSULTANTS PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Floor 1st, Plot-140, Rajesh Mansion,
Maharshi Karve Rd,Oval Maidan,Churchgate

(vii) *Financial year From date 01/04/2018 (DD/MM/YYYY) To date 31/03/2019 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 11/06/2019

(b) Due date of AGM 30/09/2019

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C1	Food, beverages and tobacco products	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 48

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Tata Global Beverages Group L		Subsidiary	89.1
2	Tata Global Beverages Holding		Subsidiary	100

3	Tata Global Beverages Services		Subsidiary	100
4	Tata Global Beverages GB Limi		Subsidiary	100
5	Tata Global Beverages Oversea		Subsidiary	100
6	Tata Global Beverages Oversea		Subsidiary	100
7	Lyons Tetley Limited		Subsidiary	100
8	Drassington Limited		Subsidiary	100
9	Teapigs Limited		Subsidiary	100
10	Teapigs US LLC		Subsidiary	100
11	Stansand Limited		Subsidiary	100
12	Stansand (Brokers) Limited		Subsidiary	100
13	Stansand (Africa) Limited		Subsidiary	100
14	Stansand (Central Africa) Limit		Subsidiary	100
15	Joekels Tea Packers (Proprietar		Subsidiary	51.7
16	Tata Global Beverages Polska S		Subsidiary	100
17	Tata Global Beverages Czech R		Subsidiary	100
18	Tata Global Beverages US Holc		Subsidiary	100
19	Tata Waters US LLC		Subsidiary	100
20	Tetley USA Inc.		Subsidiary	100
21	Good Earth Corporation		Subsidiary	100
22	Good Earth Teas Inc		Subsidiary	100
23	Empirical Group, LLC		Subsidiary	56
24	Tata Global Beverages Canada		Subsidiary	100
25	Tata Global Beverages, Austral		Subsidiary	100
26	Earth Rules Pty. Limited		Subsidiary	100
27	Tata Global Beverages Investm		Subsidiary	100

28	Campestres Holdings Limited		Subsidiary	100
29	Kahutara Holdings Limited		Subsidiary	65
30	Suntco Holding Limited		Subsidiary	100
31	Onomento Co. Limited		Subsidiary	100
32	Coffee Trade LLC		Subsidiary	100
33	Tata Global Beverages Capital		Subsidiary	100
34	Tata Coffee Ltd	L01131KA1943PLC000833	Subsidiary	57.48
35	Tata Coffee Vietnam Company		Subsidiary	100
36	Consolidated Coffee Inc		Subsidiary	100
37	Eight 'O Clock Holdings Inc.		Subsidiary	100
38	Eight ' O Clock Coffee Compan		Subsidiary	100
39	Tata Tea Extractions Inc		Subsidiary	100
40	Tata Tea Holdings Private Limi	U67190MH2008PTC187767	Subsidiary	100
41	TRIL Constructions Limited	U45201MH2007PLC171985	Associate	48.4
42	Amalgamated Plantations Priv	U01132WB2007PTC112852	Associate	41.03
43	Kanan Devan Hills Plantations	U01132KL2005PTC018014	Associate	28.52
44	NourishCo Beverages Limited	U15500HR2010PLC041616	Joint Venture	50
45	Tata Starbucks Private Limited	U74900MH2011PTC222589	Joint Venture	50
46	Tetley ACI (Bangladesh) Limite		Joint Venture	50
47	Southern Tea, LLC		Joint Venture	50
48	Tetley Clover (Private) Limited		Joint Venture	50

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *** SHARE CAPITAL**

(a) **Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,100,000,000	631,129,729	631,129,729	631,129,729
Total amount of equity shares (in Rupees)	1,100,000,000	631,129,729	631,129,729	631,129,729

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	1,100,000,000	631,129,729	631,129,729	631,129,729
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	1,100,000,000	631,129,729	631,129,729	631,129,729

(b) **Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)	0			

(c) **Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) **Break-up of paid-up share capital**

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	631,129,729	631,129,729	631,129,729	

Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	631,129,729	631,129,729	631,129,729	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debtures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☒ Yes ☐ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		05/07/2018	
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debtures, 4 - Stock	
Number of Shares/ Debtures/ Units Transferred		Amount per Share/ Debture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			45,268,785.3
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			45,268,785.3

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

34,296,589,307.19

(ii) Net worth of the Company

43,518,950,196.27

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	217,445,190	34.45	0	
10.	Others	0	0	0	
	Total	217,445,190	34.45	0	0

Total number of shareholders (promoters)

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(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	135,532,802	21.47	0	
	(ii) Non-resident Indian (NRI)	6,299,674	1	0	
	(iii) Foreign national (other than NRI)	8,400	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	5,850	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	1,574,632	0.25	0	
4.	Banks	3,966,449	0.63	0	
5.	Financial institutions	20,269,665	3.21	0	
6.	Foreign institutional investors	160,101,421	25.37	0	
7.	Mutual funds	59,257,173	9.39	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	11,328,282	1.79	0	
10.	Others Alternate Investment fund	15,340,191	2.43	0	
	Total	413,684,539	65.54	0	0

Total number of shareholders (other than promoters) 209,584

**Total number of shareholders (Promoters+Public/
Other than promoters)** 209,589

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
ADVANCED SERIES TR	DEUTSCHE BANK AG, DB HOUSE H			7,031	0
ADVANTAGE ADVISER	STATE BANK OF INDIA GLOBAL CU			500	0
AHMED ABDULAZIZ A	8 DEAN RYLE STREET APT 711 LON			2,400	0
ALASKA PERMANENT	DEUTSCHE BANK AG DB HOUSE, H			109,921	0.02
AQUILA EMERGING M	DEUTSCHE BANK AG, DB HOUSE H			17,719	0
AUSTRALIA POST SUP	CITIBANK N.A. CUSTODY SERVICES			948,981	0.15
BARCLAYS DE ZOETE	THE HONGKONG & SHANGHAI BA			250	0
BARCLAYS DE ZOETE	THE HONGKONG & SHANGHAI BA			1,000	0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
BARING INTERNATIONAL	THE HONGKONG & SHANGHAI BANKING CO. LTD.			1,000	0
BARON EMERGING MARKETS	DEUTSCHE BANK AG, DB HOUSE			155,938	0.02
BARON EMERGING MARKETS	DEUTSCHE BANK AG, DB HOUSE			7,946,370	1.26
BARON EMERGING MARKETS	DEUTSCHE BANK AG, DB HOUSE			109,696	0.02
BLACKROCK ADVANTAGE	CITIBANK N.A. CUSTODY SERVICES			16,645	0
BLUE SKY PASSIVE EQUITY	CITIBANK N.A. CUSTODY SERVICES			15,953	0
BNP PARIBAS ARBITRAGE	BNP PARIBAS, CUSTODY OPERATIONS			370,456	0.06
BRUNEI INVESTMENT	DEUTSCHE BANK AG, DB HOUSE			35,854	0.01
CALIFORNIA PUBLIC EMPLOYEES	DEUTSCHE BANK AG DB HOUSE, H			241,487	0.04
CANADA POST CORPORATION	HSBC SECURITIES SERVICES 11TH F			181,911	0.03
CDN ACWI ALPHA TILT	HSBC SECURITIES SERVICES 11TH F			5,038	0
CF DV ACWI EX-U.S. INVESTMENT	DEUTSCHE BANK AG, DB HOUSE			4,902	0
CITY OF LOS ANGELES	CITIBANK N.A. CUSTODY SERVICES			46,232	0.01
CITY OF NEW YORK GENERAL	DEUTSCHE BANK AG DB HOUSE, H			793,492	0.13
COLLEGE RETIREMENT INVESTMENT	DEUTSCHE BANK AG DB HOUSE, H			158,107	0.03
COLONIAL FIRST STATE	CITIBANK N.A. CUSTODY SERVICES			156,636	0.02
COLONIAL FIRST STATE	CITIBANK N.A. CUSTODY SERVICES			1,018,967	0.16

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	5	5
Members (other than promoters)	201,026	209,584
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	2	8	2	7	0	0
(i) Non-Independent	2	3	2	2	0	0
(ii) Independent	0	5	0	5	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	8	2	7	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

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(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
N Chandrasekaran	00121863	Director	0	
Harish Bhat	00478198	Director	0	
V Leeladhar	02630276	Director	0	
S Santhanakrishnan	00032049	Director	0	
Ranjana Kumar	02930881	Director	0	
Mallika Srinivasan	00037022	Director	0	
Siraj Azmat Chaudhry	00161853	Director	0	
Ajoy Misra	00050557	Managing Director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
L. KrishnaKumar	00423616	Whole-time director	0	
John Jacob	ACFPJ8815R	CFO	0	
Neelabja Chakrabarty	ADWPC6221G	Company Secretary	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
S. Santhanakrishnan	00032049	Director	11/05/2018	Change in Designation
Ireena Vittal	05195656	Director	30/06/2018	Cessation
V. Madan	ACKPV2545H	Company Secretary	06/08/2018	Cessation
Neelabja Chakrabarty	ADWPC6221G	Company Secretary	07/08/2018	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	05/07/2018	205,979	447	3.6

B. BOARD MEETINGS

*Number of meetings held

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S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	11/05/2018	10	9	90
2	01/08/2018	9	8	88.89
3	09/10/2018	9	9	100
4	30/10/2018	9	9	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
5	05/02/2019	9	7	77.78
6	06/03/2019	9	9	100
7	27/03/2019	9	8	88.89

C. COMMITTEE MEETINGS

Number of meetings held

17

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	11/05/2018	6	6	100
2	Audit Committee	31/07/2018	5	3	60
3	Audit Committee	30/10/2018	5	5	100
4	Audit Committee	04/02/2019	5	4	80
5	Audit Committee	19/03/2019	5	5	100
6	Nomination and Remuneration	11/05/2018	4	4	100
7	Nomination and Remuneration	01/08/2018	4	3	75
8	Nomination and Remuneration	30/10/2018	4	4	100
9	Nomination and Remuneration	27/03/2019	4	3	75
10	Stakeholders Forum	31/07/2018	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	11/06/2019
								(Y/N/NA)
1	N Chandrasek	7	7	100	4	4	100	Yes
2	Harish Bhat	7	7	100	12	12	100	Yes
3	V Leeladhar	7	5	71.43	17	13	76.47	Yes

4	S Santhanakri	7	7	100	13	10	76.92	Yes
5	Ranjana Kuma	7	6	85.71	14	11	78.57	No
6	Mallika Sriniva	7	6	85.71	0	0	0	No
7	Siraj Azmat Cl	7	7	100	8	7	87.5	Yes
8	Ajoy Misra	7	7	100	2	2	100	Yes
9	L. KrishnaKum	7	7	100	3	3	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ajoy Misra	Managing Direct	35,383,000			3,468,000	38,851,000
2	L. KrishnaKumar	Executive Direct	32,762,000			2,891,000	35,653,000
	Total		68,145,000	0		6,359,000	74,504,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	John Jacob	CFO	16,921,000			1,757,000	18,678,000
2	V. Madan	Company Secre	3,210,000			469,000	3,679,000
3	Neelabja Chakrabai	Company Secre	5,482,000			272,000	5,754,000
	Total		25,613,000	0	0	2,498,000	28,111,000

Number of other directors whose remuneration details to be entered

8

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	N Chandrasekaran	Chairman	0			220,000	220,000
2	Mallika Srinivasan	Director	0	4,000,000		210,000	4,210,000
3	V Leeladhar	Director	0	7,000,000		570,000	7,570,000
4	Ranjana Kumar	Director	0	6,000,000		540,000	6,540,000
5	S Santhanakrishnar	Director	0	5,000,000		450,000	5,450,000

S. NO.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
6	Siraj Azmat Chaudh	Director	0	4,000,000		450,000	4,450,000
7	Harish Bhat	Director	0			420,000	420,000
8	Ireena Vittal	Director	0	500,000		30,000	530,000
	Total		0	26,500,000	0	2,890,000	29,390,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Dr. Asim Kumar Chattopadhyay

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

880

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... 13 dated 01/08/2018

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

LAKSHMANA
N KRISHNA
KUMAR

DIN of the director

00423616

To be digitally signed by

NEELABJA
CHAKRABA
RTY

- ☒ Company Secretary
☐ Company secretary in practice

Membership number 16075

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach**Attach****Attach****Attach****List of attachments**

Attendance of Directors for Board Meeting
Attendance of Directors for Statutory Comr
Committee Meetings held 2018-19.pdf
Details of Flls.pdf
MGT-8.pdf

Remove attachment**Modify****Check Form****Prescrutiny****Submit**

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

IX D. Attendance of Directors in Board Meetings during Financial year 2018 - 19

Sl. No.	Board Meeting	11-May-18	1-Aug-18	9-Oct-18	30-Oct-18	5-Feb-19	6-Mar-19	27-Mar-19	Attended	Total	%
1	N Chandrasekaran	1	1	1	1	1	1	1	7	7	100.00
2	Mallika Srinivasan	1	1	1	1	0	1	1	6	7	85.71
3	V Leeladhar	1	1	1	1	0	1	0	5	7	71.43
4	Ranjana Kumar	1	0	1	1	1	1	1	6	7	85.71
5	S Santhanakrishnan	1	1	1	1	1	1	1	7	7	100.00
6	Ireena Vittal*	0	NA						0	1	0.00
7	Siraj Azmat Chaudhry	1	1	1	1	1	1	1	7	7	100.00
8	Harish Bhat	1	1	1	1	1	1	1	7	7	100.00
9	Mr. Ajoy Misra	1	1	1	1	1	1	1	7	7	100.00
10	Mr. L. Krishnakumar	1	1	1	1	1	1	1	7	7	100.00
	Total	9	8	9	9	7	9	8	59	64	100.00

* Mrs. Ireena Vittal resigned from Director of the Board of the Company w.e.f 30.06.2018.

1 - Present, 0 - Absent, N.A. - Not Applicable

For: TATA GLOBAL BEVERAGES LIMITED

Neelabja Chakrabarty
Neelabja Chakrabarty
Vice President & Company Secretary

ACS 16075



IX D Attendance of Directors in various Statutory Committees during 2018-19

1. N. Chandrasekaran	Meetings Dates					
Nomination and Remuneration Committee	11-May-18	1-Aug-18	30-Oct-18	27-Mar-19	Attended	No. of Meetings
Attended	1	1	1	1	4	4

Z. Mr. V. Leeladhar	Meetings Dates						
Audit Committee	11-May-18	31-Jul-18	30-Oct-18	4-Feb-19	19-Mar-19	Attended	No. of Meetings
Attended	1	1	1	0	1	4	5
Nomination and Remuneration Committee	11-May-18	1-Aug-18	30-Oct-18	27-Mar-19			
Attended	1	1	1	0		3	4
Stakeholder Relationship Committee	31-Jul-18	29-Oct-18	4-Feb-19				
Attended	1	1	0			2	3
CSR Committee	29-Oct-18	19-Mar-19					
Attended	1	1				2	2
Risk Management Committee	31-Jul-18	4-Feb-19	19-Mar-19				
Attended	1	0	1			2	3



3. Mrs. Ranjana Kumar	Meetings Dates						
Audit Committee	11-May-18	31-Jul-18	30-Oct-18	4-Feb-19	19-Mar-19	Attended	No. of Meetings
Attended	1	0	1	1	1	4	5
Nomination and Remuneration Committee	11-May-18	1-Aug-18	30-Oct-18	27-Mar-19			
Attended	1	0	1	1		3	4
CSR Committee	29-Oct-18	19-Mar-19					
Attended	1	1				2	2
Risk Management Committee	31-Jul-18	4-Feb-19	19-Mar-19				
Attended	0	1	1			2	3

4. Mr. S. Ganthanakrishnan	Meetings Dates						
Audit Committee	11-May-18	31-Jul-18	30-Oct-18	4-Feb-19	19-Mar-19	Attended	No. of Meetings
Attended	1	0	1	1	1	4	5
Stakeholder Relationship Committee	31-Jul-18	29-Oct-18	4-Feb-19				
Attended	1	0	1			2	3
CSR Committee	29-Oct-18	19-Mar-19					
Attended	0	1				1	2
Risk Management Committee	31-Jul-18	4-Feb-19	19-Mar-19				
Attended	1	1	1			3	3



5. Mrs. Ireena Vittal§	Meetings Dates						
Audit Committee	11-May-18	31-Jul-18	30-Oct-18	4-Feb-19	19-Mar-19	Attended	No. of Meetings
Attended	1		N. A.			1	1

§ Mrs. Ireena Vittal resigned from the Board of Directors w.e.f. June 30, 2018

6. Mr. Harish Bhat	Meetings Dates						
Audit Committee	11-May-18	31-Jul-18	30-Oct-18	4-Feb-19	19-Mar-19	Attended	No. of Meetings
Attended	1	1	1	1	1	5	5
Nomination and Remuneration Committee	11-May-18	1-Aug-18	30-Oct-18	27-Mar-19			
Attended	1	1	1	1		4	4
Risk Management Committee	31-Jul-18	4-Feb-19	19-Mar-19				
Attended	1	1	1			3	3

7. Mr. Ajoy Misra	Meetings Dates			
CSR Committee	29-Oct-18	19-Mar-19	Attended	No. of Meetings
Attended	1	1	2	2



8. Mr. L. KrishnaKumar	Meetings Dates				
Stakeholder Relationship Committee	31-Jul-18	29-Oct-18	4-Feb-19	Attended	No. of Meetings
Attended	1	1	1	3	3

9. Siraj Azmat Chaudhary	Meetings Dates						
Audit Committee	11-May-18	31-Jul-18	30-Oct-18	4-Feb-19	19-Mar-19	Attended	No. of Meetings
Attended	1	1	1	1	1	5	5
Risk Management Committee	31-Jul-18	4-Feb-19	19-Mar-19				
Attended	1	0*	1			2	3
* Attended through audio call							

1 - Present, 0 - Absent, N.A. - Not Applicable

10. Mallika Srinivasan - Not a member of any of the above referred committee

For: TATA GLOBAL BEVERAGES LIMITED

Neelabja Chakrabarty
Neelabja Chakrabarty
Vice President & Company Secretary
ACS 16075



IX C. Committee Meetings

No. of Committee Meeting held during the Financial Year 2018-19

Sl. No.	Type of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Stakeholder Relationship Committee	31-Jul-18	3	3	100.00
		29-Oct-18	3	2	66.67
		4-Feb-19	3	2	66.67
2	Corporate Social Responsibility	29-Oct-18	4	3	75.00
		19-Mar-19	4	4	100.00
3	Risk Management Committee	31-Jul-18	5	4	80.00
		4-Feb-19	5	3*	60.00
		19-Mar-19	5	5	100.00

* Mr. Siraj Azmat Chaudhary attended through Audio Call.

For: TATA GLOBAL BEVERAGES LIMITED


Neelabja Chakrabarty
Vice President & Company Secretary

ACS 16075



TATA GLOBAL BEVERAGES LIMITED
AS OF 31-03-2019

VI (c) Details of Foreign Institutional Investors (FIIs) holding shares of the Company

Sr.NO	Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
1	ADVANCED SERIES TRUST AST BLACKROCK GLOBAL STRATEGIES PORTFOLIO	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			7,031	0.00
2	ADVANTAGE ADVISERS INDIA FUND INC ***A40569	STATE BANK OF INDIA GLOBAL CUSTODIAL SERVICES, SECURITIES SERVICES BRANCH BOMBAY MAIN BRANCH BLDG 2ND FLOOR BOMBAY SAMACHAR MARG MUMBAI 400023			500	0.00
3	AHMED ABDULAZIZ AHMED YUSUF ABDULMALIK	8 DEAN RYLE STREET APT 711 LONDON UK-SW1P4DA 111111			2,400	0.00
4	ALASKA PERMANENT FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			109,921	0.02
5	AQUILA EMERGING MARKETS FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			17,719	0.00
6	AUSTRALIA POST SUPERANNUATION SCHEME	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			948,981	0.15
7	BARCLAYS DE ZOETE WEDD INVST MANAGEMENT*** TFB8533	THE HONGKONG & SHANGHAI BANKING CORPN 52/60 M G ROAD CUSTODIAN SERVICES (BASEMENT) MUMBAI 400001			250	0.00
8	BARCLAYS DE ZOETE WEDD INVST MANAGEMENT LTD A/C THE BOMBAY FUND LIMITED	THE HONGKONG & SHANGHAI BANKING CORP LTD 52/60 MAHATMA GANDHI ROAD CUSTODIAN SERVICES (BASEMENT) MUMBAI 400001			1,000	0.00
9	BARING INTERNATIONAL INV MGMT LTD A/C BARING CHRYSLIS FUND LTD	THE HONGKONG & SHANGHAI BANK G CORP LTD C/O SEC DEPT 52/60 M G ROAD POST BOX 128 MUMBAI 400001			1,000	0.00
10	BARON EMERGING MARKETS COLLECTIVE INVESTMENT FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			155,938	0.02
11	BARON EMERGING MARKETS FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			7,946,370	1.26
12	BARON EMERGING MARKETS FUND LTD.	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			109,696	0.02
13	BLACKROCK ADVANTAGE GLOBAL FUND, INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			16,645	0.00
14	BLUE SKY PASSIVE EQUITY EMERGING MARKETS GLOBAL FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			15,953	0.00
15	BNP PARIBAS ARBITRAGE	BNP PARIBAS, CUSTODY OPERATIONS BNP PARIBAS HOUSE, 6TH FLOOR 1 NORTH AVENUE, MAKER MAXITY BKC BANDRA EAST MUMBAI 400051			370,456	0.06
16	BRUNET INVESTMENT AGENCY AS MANAGED BY DIMENSIONAL FUND ADVISORS LP	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			35,854	0.01
17	CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			241,487	0.04
18	CANADA POST CORPORATION REGISTERED PENSION PLAN	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400053			181,911	0.03
19	CDN ACWI ALPHA TILTS FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400053			5,038	0.00
20	CF DV ACWI EX-U.S. IMI FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			4,902	0.00
21	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			46,232	0.01
22	CITY OF NEW YORK GROUP TRUST	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			793,492	0.13
23	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			158,107	0.03
24	COLONIAL FIRST STATE INVESTMENTS LIMITED AS RESPONSIBLE ENTITY FOR COMMONWEALTH EMERGING MARKETS FUND 5	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			156,636	0.02
25	COLONIAL FIRST STATE INVESTMENTS LIMITED AS RESPONSIBLE ENTITY FOR THE COLONIAL FIRST STATE WHOLESALE GLOBAL EMERGING MARKETS FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			1,018,967	0.16
26	COPTHALL MAURITIUS INVESTMENT LIMITED	J.P.MORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			957,089	0.15
27	DANSKE INVEST SICAV - EMERGING AND FRONTIER MARKETS	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400053			298,061	0.05
28	DANSKE INVEST SICAV - SIF - EMERGING AND FRONTIER MARKETS SMID II	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400053			1,010,375	0.16
29	DANSKE INVEST SICAV-SIF- EMERGING AND FRONTIER MARKETS SMID	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400053			539,089	0.09
30	DB INTERNATIONAL (ASIA) LTD	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			213	0.00
31	DESTINATIONS INTERNATIONAL EQUITY FUND, A SERIES OF BRINKER CAPITAL DESTINATIONS TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			457,820	0.07
32	DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			83,915	0.01



33	DFA INTERNATIONAL CORE EQUITY FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			53,370	0.01
34	DFA INTERNATIONAL VECTOR EQUITY FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			53,500	0.01
35	DIMENSIONAL EMERGING MARKETS VALUE FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			3,216,359	0.51
36	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			30,381	0.00
37	DODONA HOLDINGS LIMITED	Standard Chartered Bank, CRESCENDO Securities Services, 3rd Floor C-38/39 G-Block, BKC Bandra (East) Mumbai India 400051			57,000	0.01
38	DRZ EMERGING MARKETS, LP	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			1,720,700	0.27
39	EATON VANCE INTERNATIONAL (IRELAND) PARAMETRIC EMERGING MARKETS FUND, A SUB-FUND OF EATON VANCE INTERNATIONAL (IRELAND) FUNDS PLC	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			60,288	0.01
40	EATON VANCE PARAMETRIC STRUCTURED EMERGING MARKETS FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			74,700	0.01
41	EATON VANCE TRUST COMPANY COLLECTIVE INVESTMENT TRUST FOR EMPLOYEE BENEFIT PLANS III	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			28,604	0.00
42	EATON VANCE TRUST COMPANY COLLECTIVE INVESTMENT TRUST FOR EMPLOYEE BENEFIT PLANS- EATON VANCE TRUST COMPANY/PARAMETRIC EMERGING MARKETS EQUITY	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			90,191	0.01
43	EB DV ACWI EX-U.S. SMALL CAP FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			15,501	0.00
44	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			193,428	0.03
45	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIGI)	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			3,585,665	0.57
46	EMERGING MARKETS OPPORTUNITIES LR FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			265,353	0.04
47	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			658,972	0.10
48	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			837,585	0.13
49	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND B	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			103,587	0.02
50	EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			141,608	0.02
51	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			27,188	0.00
52	EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			126,036	0.02
53	EMERGING MARKETS TARGETED VALUE PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			54,579	0.01
54	EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS PLC THE FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			358,915	0.06
55	ESSEX COUNTY COUNCIL	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			1,474,523	0.23
56	FIDELITY SALEM STREET TRUST SPARTAN TOTAL INTERNATIONAL INDEX FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			21,357	0.00
57	FIRST STATE INVESTMENTS ICVC- STEWART INVESTORS ASIA PACIFIC FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			12,211,511	1.93
58	FIRST STATE INVESTMENTS ICVC- STEWART INVESTORS GLOBAL EMERGING MARKETS FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			4,347,516	0.69
59	FIRST STATE INVESTMENTS ICVC- STEWART INVESTORS GLOBAL EMERGING MARKETS LEADERS FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			17,526,894	2.78
60	FIRST STATE INVESTMENTS ICVC- STEWART INVESTORS INDIAN SUBCONTINENT FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			1,440,537	0.23
61	FIRST STATE INVESTMENTS ICVC- STEWART INVESTORS WORLDWIDE EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			195,119	0.03
62	FLEDGELING NOMINEES INTL. LTDA/C JARDINE FLEMING INDIA PACIFIC TRUST	CITIBANK N.A. (CUSTODY SERVICES) C/O SULTANALLY BUSINESS CENTRE BARODAWALA HANSTON DR A B ROAD, HYBLT MUMBAI 400018			2,010	0.00
63	FLEXSHARES MORNINGSTAR EMERGING MARKET'S FACTOR TILT INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			12,584	0.00
64	FLORIDA RETIREMENT SYSTEM - DIMENSIONAL FUND ADVISORS LP	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			102,883	0.02
65	FOREIGN & COLONIAL EMERGING MARKETS LTD*** TFF2661	THE HONGKONG & SHANGHAI BANKING CORP LTD 52/60 MAHATMA GANDHI ROAD CUSTODIAN SERVICES (BASEMENT) MUMBAI 400023			500	0.00



66	FOREIGN & COLONIAL EMERGING MARKETS LTD*** TFF2661	THE HONGKONG & SHANGHAI BANKING CORP 52/60 M G ROAD CUSTODIAN SERVICES (BASEMENT) MUMBAI 400023			500	0.00
67	FRANKLIN TEMPLETON INVESTMENT FUNDS	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			2,375,202	0.38
68	FT (MAURITIUS) OFFSHORE INVESTMENTS LIMITED	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			65,800	0.01
69	GLOBAL ALPHA TILTS FUND A	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			11,851	0.00
70	GLOBAL EX-US ALPHA TILTS FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			1,426	0.00
71	GLOBAL EX-US ALPHA TILTS FUND B	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			10,046	0.00
72	GOVERNMENT PENSION FUND GLOBAL	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			13,571,911	2.15
73	HOSPLUS POOLED SUPERANNUATION TRUST PARADISE INVESTMENT MANAGEMENT PTY LIMITED	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			1,945,607	0.31
74	INDIA MAX INVESTMENT FUND LIMITED	ICICI BANK LTD SMS DEPT 1ST FLOOR EMPIRE COMPLEX 414 S B MARG LOWER PAREL MUMBAI MAHARASHTRA 400013			33,500	0.01
75	INDIA OPPORTUNITIES GROWTH FUND LTD - PINEWOOD STRATEGY	Standard Chartered Bank, CRESCENDO Securities Services, 3rd Floor C-38/39 G-Block, BKC Bandra (East) Mumbai India 400051			10,000	0.00
76	INTERNATIONAL EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			49,699	0.01
77	INTERNATIONAL MONETARY FUND - MANAGER A	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			24,799	0.00
78	INTERNATIONAL MONETARY FUND - MANAGER B	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			28,778	0.00
79	ISHARES CORE EMERGING MARKETS MAURITIUS CO	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			3,253,947	0.52
80	ISHARES CORE MSCI EM IMI UCITS ETF	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			709,756	0.11
81	ISHARES CORE TOTAL INTERNATIONAL STOCK MAURITIUS CO	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			315,218	0.05
82	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			58,384	0.01
83	ISHARES EMSC MAURITIUS CO	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			129,650	0.02
84	ISHARES INDIA SC MAURITIUS COMPANY	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			789,242	0.13
85	ISHARES IV PUBLIC LIMITED COMPANY-ISHARES MSCI EM IMI ESG SCREENED UCITS ETF	HSBC SECURITIES SERVICES 11TH FLR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063			1,705	0.00
86	ISHARES MSCI EM SMALL CAP UCITS ETF	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			142,442	0.02
87	ISHARES MSCI GLOBAL AGRICULTURE PRODUCERS ETF	HSBC SECURITIES SERVICES 11TH FLR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063			27,510	0.00
88	JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			14,450	0.00
89	JOHN HANCOCK VARIABLE INSURANCE TRUST EMERGING MARKETS VALUE TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			23,936	0.00
90	JPMORGAN CHASE RETIREMENT PLAN	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			133,618	0.02
91	JYSKE INVEST INDIAN EQUITIES CL	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			25,116	0.00
92	JYSKE INVEST INDISKE AKTIER KL	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			176,109	0.03
93	KAPITALFORENINGEN SAMPENSION INVEST	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			2,148,159	0.34
94	KUWAIT INVESTMENT AUTHORITY FUND 226	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			755,445	0.12
95	LAERERNES PENSION FORSIKRINGSSELSKAB	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			29,695	0.00
96	LEGAL AND GENERAL ASSURANCE (PENSION MANAGEMENT) LIMITED	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			19,471	0.00
97	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			65,899	0.01
98	LPP I GLOBAL EQUITIES FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			687,925	0.11
99	MAHOUT GLOBAL EMERGING MARKETS LEADERS FUND, A SUB-FUND OF THE MAHOUT DELAWARE STATUTORY TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			5,426,090	0.86
100	MAHOUT GROUP TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			158,033	0.03
101	MERCER EMERGING MARKETS EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			78,855	0.01



102	MERRILL LYNCH MARKETS SINGAPORE PTE. LTD	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			1,610,065	0.26
103	NFS HERITAGE TRUST COMPANY COLLECTIVE INVESTMENT TRUST - NFS INTERNATIONAL SMALL-MID CAP EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			211,947	0.03
104	NFS INTERNATIONAL NEW DISCOVERY FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			4,258,744	0.67
105	MGI EMERGING MARKETS EQUITY FUND- DIMENSIONAL FUND ADVISORS LTD.	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			115,065	0.02
106	MINISTRY OF ECONOMY AND FINANCE - BLACKROCK INTERNATIONAL LTD- GEEQ	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			51,997	0.01
107	MIRAE ASSET INDIA MID CAP EQUITY FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			4,082,002	0.65
108	MIRAE ASSET INDIA SMALL-MID CAP FOCUS EQUITY MASTER INVESTMENT TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			1,329,019	0.21
109	MKT CAPITAL LP	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI 400097			170,500	0.03
110	MORGAN STANLEY ASSET MGT INC SUB A/C/MORGAN STANLEY IND INV FUND INC * M41617	STOCK HOLDING CORPN OF INDIA LTD 224 MITTAL COURT B WING 2ND FLOOR NARIMAN POINT MUMBAI 400021			500	0.00
111	MORGAN STANLEY FRANCE S.A.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			166,375	0.03
112	NATIONAL FEDERATION OF MUTUAL AID ASSOCIATIONS FOR MUNICIPAL PERSONNEL 18464-2116	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063			2,465	0.00
113	NATIONAL FEDERATION OF MUTUAL AID ASSOCIATIONS FOR MUNICIPAL PERSONNEL 18464-2117	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063			2,669	0.00
114	NATIONAL WESTMINSTER BANK PLC AS TRUSTEE OF ST. JAMES'S PLACE GLOBAL SMALLER COMPANIES UNIT TRUST MANAGED BY PARADICE INVESTMENT MANAGEMENT LL	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			5,100,335	0.81
115	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			311,253	0.05
116	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON LENDING	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			5,289	0.00
117	OLD WESTBURY SMALL & MID CAP STRATEGIES FUND - DIMENSIONAL FUND ADVISORS	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			17,372	0.00
118	PACIFIC SELECT FUND - PD EMERGING MARKETS PORTFOLIO	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			20,394	0.00
119	PARADICE GLOBAL SMALL MID CAP FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			3,933,299	0.62
120	PARAMETRIC TAX-MANAGED EMERGING MARKETS FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			58,700	0.01
121	PEOPLE'S BANK OF CHINA	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			85,944	0.01
122	PREMIER INVESTMENT FUND LIMITED	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS, INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI 400097			34,485	0.01
123	PUB EQUITIES EMERGING MARKETS 1	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			154,807	0.02
124	PUB EQUITIES EMERGING MARKETS 2	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			111,762	0.02
125	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			21,387	0.00
126	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			104,678	0.02
127	QIC INTERNATIONAL EQUITIES FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			21,410	0.00
128	QUAESTIO CAPITAL MANAGEMENT SOCIETA DI GESTIONE DEI RISPARMIO S.P.A. LUXEMBOURG BRANCH	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063			1,381,017	0.22
129	QUEENSLAND INVESTMENT TRUST NO 2	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			119,808	0.02
130	RBC TRUSTEES JERSEY LIMITED	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			7,016	0.00
131	RETAIL EMPLOYEES SUPERANNUATION PTY. LIMITED AS TRUSTEE FOR RETAIL EMPLOYEES SUPERANNUATION TRUST	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			5,612,328	0.89
132	SA EMERGING MARKETS VALUE FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			46,398	0.01
133	SAUDI ARABIAN MONETARY AUTHORITY - EMERGING MARKET PORTFOLIO 21	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063			395,716	0.06
134	SCOTGEMS PLC	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			1,204,187	0.19
135	SOCIETE GENERALE	SBI SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA ANNEXE BUILDING, A WING GR FLOOR, S V ROAD SANTACRUZ WEST, MUMBAI 400054			9,218	0.00

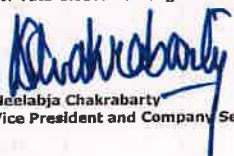


136	SSGA SPDR ETFs EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			40,743	0.01
137	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			353,140	0.06
138	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			74,922	0.01
139	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			152,531	0.02
140	STEWART INVESTORS GLOBAL EMERGING MARKETS LEADERS FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			10,022,172	1.59
141	STEWART INVESTORS WORLDWIDE EQUITY FUND AS A SUB FUND OF FIRST STATE GLOBAL UMBRELLA FUND PLC	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			75,741	0.01
142	STICHTING DEPOSITARY APG EMERGING MARKETS EQUITY POOL	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			1,705,705	0.27
143	STICHTING PENSIENFONDS OPENBAAR VERVOER	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			20,327	0.00
144	STICHTING SPOORWEGPENSIENFONDS	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			101,663	0.02
145	SUNDARAM INDIA MIDCAP FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			831,300	0.13
146	SUNSUPER SUPERANNUATION FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			78,109	0.01
147	SUTTER HEALTH MASTER RETIREMENT TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			249,500	0.04
148	T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			152,631	0.02
149	TELSTRA SUPER PTY LTD AS TRUSTEE FOR TELSTRA SUPERANNUATION SCHEME	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			577,316	0.09
150	TFL PENSION FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			1,887,640	0.30
151	THE CHANCELLOR, MASTERS AND SCHOLARS OF THE UNIVERSITY OF CAMBRIDGE	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			333,432	0.05
152	THE EMERGING MARKETS SERIES OF THE DFA INVESTMENT TRUST COMPANY	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			335,765	0.05
153	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			2,420,603	0.38
154	THE MASTER TRUST BANK OF JAPAN, LTD. AS TRUSTEE FOR NATIONAL PENSION FUND ASSOCIATION MUTB400037061	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			24,241	0.00
155	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - DFA EMERGING MARKETS UCSP	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			71,928	0.01
156	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			124,644	0.02
157	THE STATE TEACHERS RETIREMENT SYSTEM OF OHIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			2,077,771	0.33
158	TRUSTEES OF THE ESTATE OF BERNICE PAUAI BISHOP DBA KAMEHAMEHA SCHOOLS	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			634,646	0.10
159	UBS (US) GROUP TRUST	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			12,932	0.00
160	UBS FUND MANAGEMENT (SWITZERLAND) AG ON BEHALF OF UBS (CH) INSTITUTIONAL FUND - EQUITIES EMERGING MARKETS SMALL CAP PASSIVE II	Standard Chartered Bank, CRESCENDO Securities Services, 3rd Floor C-38/39 G-Block, BKC Bandra (East) Mumbai India 400051			9,118	0.00
161	UBS PRINCIPAL CAPITAL ASIA LTD	Standard Chartered Bank, CRESCENDO Securities Services, 3rd Floor C-38/39 G-Block, BKC Bandra (East) Mumbai India 400051			15,750	0.00
162	UPS GROUP TRUST	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			40,387	0.01
163	UTAH STATE RETIREMENT SYSTEMS	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			25,643	0.00
164	VALIC COMPANY II INTERNATIONAL OPPORTUNITIES FUND, MANAGED BY MFS	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			192,396	0.03
165	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			3,089,013	0.49
166	VANGUARD ESG INTERNATIONAL STOCK ETF	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			3,494	0.00
167	VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP INDEXFUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			643,949	0.10



168	VANGUARD INVESTMENTS FUNDS ICVC-VANGUARD FTSE GLOBAL ALL CAP INDEX FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			678	0.00
169	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064			3,636,587	0.58
170	VANGUARD TOTAL WORLD STOCK INDEX FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			61,078	0.01
171	VICTORYSHARES EMERGING MARKET VOLATILITY WTD ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			12,491	0.00
172	VIRGINIA RETIREMENT SYSTEM	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			38,825	0.01
173	WASHINGTON STATE INVESTMENT BOARD MANAGED BY STATE STREET GLOBAL ADVISORS GUARANTEED EDUCATION TUITION-GET	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001			7,507	0.00
174	WISDOMTREE EMERGING MARKETS SMALLCAP DIVIDEND FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			219,772	0.03
175	WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			283,112	0.04
176	WISDOMTREE ISSUER PLC - WISDOMTREE EMERGING MARKETS SMALLCAP DIVIDEND UCITS ETF	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063			3,759	0.00
177	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			134,666	0.02
178	WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			69,777	0.01
179	WULAR INDIA FUND	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 2ND FLR ZONE I, CUSTODY SERV,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI 400097			415,500	0.07

For Tata Global Beverages Limited


Neelabja Chakrabarty
Vice President and Company Secretary



DR. ASIM KUMAR CHATTOPADHYAY
M. COM., Ph.D. (Appl.Eco.), LL.B., F.C.M.A., F.C.S., D.Litt.

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Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of Tata Global Beverages LIMITED (the Company) having its registered office at 1, Bishop Lefroy Road , Kolkata - 700020 (CIN: L15491WB1962PLC031425) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on 31st March, 2019. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

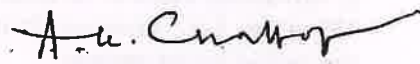
A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. It's status under the Act;
2. Maintenance of registers/records & making entries therein within the time prescribed therefor;
3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal , Court or other authorities as the case may be within the prescribed time;
4. Calling/ convening/ holding meetings of Board of Directors or its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
5. Closure of Register of Members.
6. Advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act. However, there was no such cases of advances/loans to its directors during the period under review;
7. Contracts/arrangements with related parties as specified in section 188 of the Act ;

8. Issue or allotment of Shares or transfer or transmission of securities / alteration of share capital and issue of security certificates in all instances. However, there was no issue or allotment of Shares and alteration of share capital during the period under review ;
9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act. There was no cases of keeping in abeyance / withholding or pending as mentioned in this paragraph during the period under review.
10. Declaration/ payment of dividend/ transfer of unpaid/ unclaimed dividend/Shares/ other amounts as applicable to the Investor Education and Protection Fund in accordance with the provisions of the Act;
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them as may be applicable;
13. Appointment/ reappointment of auditors as per the provisions of section 139 of the Act;
14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act.
15. Acceptance/ renewal/ repayment of deposits, although there was no such cases in the year under review.
16. Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable. However, there was no such borrowing from its Directors, members, public financial institution during the period under review.
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company. However, no such alteration had taken place during the year under review;

Place: Kolkata
Date: 09.07.2019


A. K. Chattopadhyay
Company Secretary
C. P. No. 880 (Whole Time)
FCS - 2303